

利銘證券有限公司  
BULLISH SECURITIES LTD.

客戶資料核對表  
CLIENT INFORMATION CHECK LIST

客戶姓名 Client's Name \_\_\_\_\_ ( ) 客戶號碼 A/C No. \_\_\_\_\_

營業代表姓名 A.E. Name \_\_\_\_\_ ( )

認識客戶多久  
No. of years knowing the client \_\_\_\_\_

客戶以往可曾有良好之借貸紀錄  
Does the client maintain good credit record with you in the past?  是 Yes  否 No  不適用 Not applicable

個人資料  
Personal Information

受僱  
Employed

職位 Position \_\_\_\_\_

年薪 Annual Income \_\_\_\_\_

僱主 Employer \_\_\_\_\_

受僱期間 Period with Firm \_\_\_\_\_

無職業  
Unemployed

入息來源 Income Source \_\_\_\_\_

每年入息 Annual Amount \_\_\_\_\_

自僱  
Self-employed

職位 Position \_\_\_\_\_

年薪 Total Annual Income \_\_\_\_\_

公司名稱 Company Name \_\_\_\_\_

營業種類 Business Nature \_\_\_\_\_

每年收入 Company Annual Sales \_\_\_\_\_

所持股數 % owned by client \_\_\_\_\_

經營年期 No. of year in business \_\_\_\_\_

財政狀況

Financial Background

總資產淨值 Total Net Worth \_\_\_\_\_

Total Net Worth

客人之房屋是 The Client's Residence is  自購 Self-owned  租用 Rent  分期付款 On mortgage estimated value of the residence

房產淨值 (減去貸款後)  
(Net after deducting mortgage)

投資經驗

Investment Experience :

全新 Brand New  不足一年 Within 1 year  一至三年 1-3 years  三年以上 More than 3 years

我的經驗在

My Experience :

香港股票 Hong Kong Stock  期權 Option  期指 Index Future

投資目的

Investment Objective :-

長線投資 Long Term Investment  短線買賣 Short Term Investment  投機 Speculating

建議信用限額 (百萬)  
Proposed Credit Limited \_\_\_\_\_ Million

其他資料

Other Information \_\_\_\_\_

日期 Date : \_\_\_\_\_

Customer's Signature 客戶簽署

\*請將 ✓ 號填寫在適當之 □ 位置  
Tick the appropriate option

Officer in Charge Signature 簽署